

ZETA FUNCTIONS AND ASYMPTOTIC ADDITIVE BASES WITH SOME UNUSUAL SETS OF PRIMES

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ABSTRACT. Fix $\delta \in (0, 1]$, $\sigma_0 \in [0, 1)$ and a real-valued function $\varepsilon(x)$ for which $\overline{\lim}_{x \rightarrow \infty} \varepsilon(x) \leq 0$. For every set of primes \mathcal{P} whose counting function $\pi_{\mathcal{P}}(x)$ satisfies an estimate of the form

$$\pi_{\mathcal{P}}(x) = \delta \pi(x) + O(x^{\sigma_0 + \varepsilon(x)}),$$

we define a zeta function $\zeta_{\mathcal{P}}(s)$ that is closely related to the Riemann zeta function $\zeta(s)$. For $\sigma_0 \leq \frac{1}{2}$, we show that the Riemann hypothesis is equivalent to the non-vanishing of $\zeta_{\mathcal{P}}(s)$ in the region $\{\sigma > \frac{1}{2}\}$.

For every set of primes \mathcal{P} that contains the prime 2 and whose counting function satisfies an estimate of the form

$$\pi_{\mathcal{P}}(x) = \delta \pi(x) + O((\log \log x)^{\varepsilon(x)}),$$

we show that \mathcal{P} is an *exact* asymptotic additive basis for \mathbb{N} , i.e., for some integer $h = h(\mathcal{P}) > 0$ the sumset $h\mathcal{P}$ contains all but finitely many natural numbers. For example, an exact asymptotic additive basis for \mathbb{N} is provided by the set

$$\{2, 547, 1229, 1993, 2749, 3581, 4421, 5281 \dots\},$$

which consists of 2 and every hundredth prime thereafter.

1. INTRODUCTION AND STATEMENT OF RESULTS

Let \mathbb{N} denote the set of positive integers and \mathbb{P} the set of prime numbers. Denote by $\pi(x)$ the prime counting function

$$\pi(x) := \#\{p \leq x : p \in \mathbb{P}\},$$

and for any given set of primes \mathcal{P} , put

$$\pi_{\mathcal{P}}(x) := \#\{p \leq x : p \in \mathcal{P}\}.$$

Given $\delta \in (0, 1]$, $\sigma_0 \in [0, 1)$ and a real function $\varepsilon(x)$ such that $\overline{\lim}_{x \rightarrow \infty} \varepsilon(x) \leq 0$, let $\mathcal{A}(\delta, \sigma_0, \varepsilon)$ denote the class consisting of sets $\mathcal{P} \subseteq \mathbb{P}$ for which one has an estimate of the form

$$\pi_{\mathcal{P}}(x) = \delta \pi(x) + O(x^{\sigma_0 + \varepsilon(x)}), \tag{1.1}$$

where the implied constant may depend on \mathcal{P} . Let $\mathcal{B}(\delta, \varepsilon)$ denote the class consisting of sets $\mathcal{P} \subseteq \mathbb{P}$ such that

$$\pi_{\mathcal{P}}(x) = \delta \pi(x) + O((\log \log x)^{\varepsilon(x)}), \tag{1.2}$$

where again the implied constant may depend on \mathcal{P} . The aim of this paper is to state some general results that hold true for all sets in $\mathcal{A}(\delta, \sigma_0, \varepsilon)$, or for all sets in $\mathcal{B}(\delta, \varepsilon)$. We also give examples of sets \mathcal{P} in these classes, to which our general results can be applied.

1.1. Analogues of the Riemann zeta function. The Riemann zeta function is defined in the half-plane $\{s = \sigma + it \in \mathbb{C} : \sigma > 1\}$ by two equivalent expressions, namely

$$\zeta(s) := \sum_{n \in \mathbb{N}} n^{-s} = \prod_{p \in \mathbb{P}} (1 - p^{-s})^{-1} \quad (\sigma > 1).$$

In the extraordinary memoir of Riemann [19] it is shown that $\zeta(s)$ extends to a meromorphic function on the complex plane, its only singularity being a simple pole at $s = 1$, and that it satisfies a functional equation relating its values at s and $1 - s$. The Riemann hypothesis (RH) asserts that every non-real zero of $\zeta(s)$ lies on the critical line $\{\sigma = \frac{1}{2}\}$.

Although the function $\zeta(s)$ incorporates all of the primes into its definition, in this paper we observe that certain thin subsets of the primes also give rise to functions that are strikingly similar to $\zeta(s)$.

THEOREM 1.1. *For any set $\mathcal{P} \in \mathcal{A}(\delta, \sigma_0, \varepsilon)$, the function $\zeta_{\mathcal{P}}(s)$ defined by*

$$\zeta_{\mathcal{P}}(s) := \prod_{p \in \mathcal{P}} (1 - p^{-s})^{-1/\delta} \quad (\sigma > 1)$$

extends to a meromorphic function on the region $\{\sigma > \sigma_0\}$, and there is a function $f_{\mathcal{P}}(s)$ which is analytic on $\{\sigma > \sigma_0\}$ and has the property that

$$\zeta_{\mathcal{P}}(s) = \zeta(s) \exp(f_{\mathcal{P}}(s)) \quad (\sigma > \sigma_0). \quad (1.3)$$

This is proved in §2 below.

The following corollary is clear in view of (1.3); it shows that the truth of the Riemann hypothesis depends only on the distributional properties of certain (potentially thin) sets of primes.

COROLLARY 1.2. *If $\mathcal{P} \in \mathcal{A}(\delta, \sigma_0, \varepsilon)$ and $\sigma_0 \leq \frac{1}{2}$, then the Riemann hypothesis is true if and only if $\zeta_{\mathcal{P}}(s) \neq 0$ in the half-plane $\{\sigma > \frac{1}{2}\}$.*

Similarly, for every nontrivial primitive Dirichlet character χ , the Dirichlet L -function $L(s, \chi)$, which is initially defined by

$$L(s, \chi) := \sum_{n \in \mathbb{N}} \chi(n) n^{-s} = \prod_{p \in \mathbb{P}} (1 - \chi(p) p^{-s})^{-1} \quad (\sigma > 1),$$

extends to an entire function on the complex plane and satisfies a functional equation relating its values at s and $1 - s$. The generalized Riemann hypothesis (GRH) asserts that every non-real zero of $L(s, \chi)$ lies on the critical line.

The following result provides (in some cases) an analogue of Theorem 1.1. It is proved only for quadratic Dirichlet characters χ . For any such character, let us denote

$$\pi^-(x; \chi) := \#\{p \leq x : p \in \mathbb{P} \text{ and } \chi(p) = -1\},$$

and for a given set of primes \mathcal{P} , put

$$\pi_{\mathcal{P}}^-(x; \chi) := \#\{p \leq x : p \in \mathcal{P} \text{ and } \chi(p) = -1\}.$$

THEOREM 1.3. *Fix $\mathcal{P} \in \mathcal{A}(\delta, \sigma_0, \varepsilon)$. Let χ be a primitive quadratic Dirichlet character, and suppose that*

$$\pi_{\mathcal{P}}^-(x; \chi) = \rho \pi^-(x; \chi) + O(x^{\sigma_0 + \varepsilon(x)}), \quad (1.4)$$

where $\rho \in (0, 1]$. Suppose further that $\rho/\delta = A/B$ for two positive integers A, B . Then, the function $L_{\mathcal{P}}(s, \chi)$ defined by

$$L_{\mathcal{P}}(s, \chi) := \prod_{p \in \mathcal{P}} (1 - \chi(p)p^{-s})^{-1/\delta} \quad (\sigma > 1)$$

extends to a meromorphic function on the region $\{\sigma > \sigma_0\}$, and there is a function $f_{\mathcal{P}}(s, \chi)$ which is analytic on $\{\sigma > \sigma_0\}$ and has the property that

$$\zeta(s)^B L_{\mathcal{P}}(s, \chi)^B = \zeta(s)^A L(s, \chi)^A \exp(f_{\mathcal{P}}(s, \chi)) \quad (\sigma > \sigma_0).$$

This is proved in §3 below.

1.2. Remarks. If one assumes that $\varepsilon(x)$ is such that the integral $\int_1^\infty x^{\varepsilon(x)-1} dx$ converges (for example, $\varepsilon(x) := -2(\log \log 2x)/\log x$), then $\zeta_{\mathcal{P}}(s)$ and $f_{\mathcal{P}}(s)$ in Theorem 1.1 extend to continuous functions in the closed half-plane $\{\sigma \geq \sigma_0\}$, and the relation (1.3) persists throughout $\{\sigma \geq \sigma_0\}$. For such $\varepsilon(x)$ one can easily deduce the following omega result in the case that $\sigma_0 = \frac{1}{2}$.

COROLLARY 1.4. *Let $\kappa : \mathbb{P} \rightarrow \{\pm 1\}$ be a function that satisfies the estimate*

$$\#\{\text{prime } p \leq x : \kappa(p) = -1\} = \frac{1}{2}\pi(x) + O(x^{1/2 + \varepsilon(x)}).$$

Then, for any primitive quadratic Dirichlet character χ we have

$$\#\{\text{prime } p \leq x : \chi(p) = \kappa(p)\} = \Omega(x^{1/2 + \varepsilon(x)}).$$

However, a stronger (and considerably more general) result has been obtained by Kisilevsky and Rubinstein [12]. Their work lies much deeper and utilizes explicit information about zeros of L -functions.

1.3. Examples. Here, we illustrate the results stated in §1.1 with some special sets of primes.

Let p_n denote the n th smallest prime number for each positive integer n . Note that $n = \pi(p_n)$, thus $\pi(p)$ is the index associated to any given prime p . Let $\mathbb{P}_{k,b}$ denote the set of primes whose index lies in a fixed arithmetic progression

$b \bmod k$; that is,

$$\mathbb{P}_{k,b} := \{p \in \mathbb{P} : \pi(p) \equiv b \bmod k\}.$$

Let $\mathcal{P} := \mathbb{P}_{k,b}$. Since $p_n \leq x$ if and only if $n \leq \pi(x)$, we have

$$\pi_{\mathcal{P}}(x) = \#\{n \leq \pi(x) : n \equiv b \bmod k\} = \left\lfloor \frac{\pi(x) - b}{k} \right\rfloor = \frac{1}{k} \pi(x) + O(1), \quad (1.5)$$

where $\lfloor \cdot \rfloor$ is the floor function; this shows that (1.1) holds with $\delta = \frac{1}{k}$, $\sigma_0 = 0$, and $\varepsilon(x) \equiv 0$; in other words, $\mathbb{P}_{k,b} \in \mathcal{A}(\frac{1}{k}, 0, 0)$. Applying Theorem 1.1 and Corollary 1.2 we immediately deduce the following.

COROLLARY 1.5. *The function*

$$\zeta_{k,b}(s) := \prod_{p \in \mathbb{P}_{k,b}} (1 - p^{-s})^{-k} \quad (\sigma > 1).$$

extends to a meromorphic function on the region $\{\sigma > 0\}$, and there is a function $f_{k,b}(s)$ which is analytic on $\{\sigma > 0\}$ and has the property that

$$\zeta_{k,b}(s) = \zeta(s) \exp(f_{k,b}(s)) \quad (\sigma > 0).$$

Consequently, the Riemann hypothesis is true if and only if $\zeta_{k,b}(s) \neq 0$ in $\{\sigma > \frac{1}{2}\}$.

This shows that much analytic information about the Riemann zeta function (in particular, the location of the nontrivial zeros) is captured by a set of primes of relative density $\frac{1}{k}$.

More generally, for fixed $\kappa, \lambda \in \mathbb{R}$ with $\kappa \geq 1$, let $\mathcal{B}_{\kappa,\lambda}$ be the non-homogeneous Beatty sequence defined by

$$\mathcal{B}_{\kappa,\lambda} := \{n \in \mathbb{N} : n = \lfloor \kappa m + \lambda \rfloor \text{ for some } m \in \mathbb{Z}\}.$$

Beatty sequences appear in a variety of mathematical settings; the arithmetic properties of these sequences have been extensively explored in the literature. Let $\mathbb{P}_{\kappa,\lambda}$ denote the set of primes whose index lies in $\mathcal{B}_{\kappa,\lambda}$; that is,

$$\mathbb{P}_{\kappa,\lambda} := \{p \in \mathbb{P} : \pi(p) \in \mathcal{B}_{\kappa,\lambda}\}.$$

As with (1.5) above, the estimate

$$\pi_{\mathcal{P}}(x) = \frac{1}{\kappa} \pi(x) + O(1)$$

is immediate; therefore, $\mathbb{P}_{\kappa,\lambda} \in \mathcal{A}(\frac{1}{\kappa}, 0, 0)$, and one obtains a natural extension of Corollary 1.5 with the function

$$\zeta_{\kappa,\lambda}(s) := \prod_{p \in \mathbb{P}_{\kappa,\lambda}} (1 - p^{-s})^{-\kappa}.$$

Next, let $\mathbf{X} := \{\mathbf{X}_p : p \in \mathbb{P}\}$ be a set of independent random variables, where each variable is either $+1$ or -1 , with a 50% probability for either value. The

law of the iterated logarithm (due to Khintchine [11]) asserts that

$$\overline{\lim}_{x \rightarrow \infty} (\pi(x) \log \log \pi(x))^{-1/2} \sum_{p \leq x} \mathbf{X}_p = \sqrt{2} \quad \text{a.s.}$$

and (replacing $\{\mathbf{X}_p\}$ with $\{-\mathbf{X}_p\}$) that

$$\underline{\lim}_{x \rightarrow \infty} (\pi(x) \log \log \pi(x))^{-1/2} \sum_{p \leq x} \mathbf{X}_p = -\sqrt{2} \quad \text{a.s.,}$$

where “a.s.” stands for “almost surely” in the sense of probability theory. In particular, denoting

$$\mathbb{P}_{\mathbf{X}}^+ := \{p \in \mathbb{P} : \mathbf{X}_p = +1\} \quad \text{and} \quad \mathbb{P}_{\mathbf{X}}^- := \{p \in \mathbb{P} : \mathbf{X}_p = -1\},$$

we have the (less precise) estimate

$$\pi_{\mathbb{P}_{\mathbf{X}}^+}(x) - \pi_{\mathbb{P}_{\mathbf{X}}^-}(x) = \sum_{p \leq x} \mathbf{X}_p = O(x^{1/2}) \quad \text{a.s.}$$

Since $\pi(x) = \pi_{\mathbb{P}_{\mathbf{X}}^+}(x) + \pi_{\mathbb{P}_{\mathbf{X}}^-}(x)$ we deduce that

$$\pi_{\mathbb{P}_{\mathbf{X}}^\pm}(x) = \frac{1}{2} \pi(x) + O(x^{1/2}) \quad \text{a.s.}$$

for either choice of the sign \pm . Taking $\mathcal{P} := \mathbb{P}_{\mathbf{X}}^\pm$ we see that (1.1) holds a.s. with $\delta = \sigma_0 = \frac{1}{2}$ and $\varepsilon(x) \equiv 0$; in other words, $\mathbb{P}_{\mathbf{X}}^\pm \in \mathcal{A}(\frac{1}{2}, \frac{1}{2}, 0)$ almost surely. In view of Theorem 1.1 and Corollary 1.2 we deduce the following.

COROLLARY 1.6. *In the region $\{\sigma > 1\}$, let*

$$\zeta_{\mathbf{X}}^+(s) := \prod_{\substack{p \in \mathbb{P} \\ \mathbf{X}_p = +1}} (1 - p^{-s})^{-2} \quad \text{and} \quad \zeta_{\mathbf{X}}^-(s) := \prod_{\substack{p \in \mathbb{P} \\ \mathbf{X}_p = -1}} (1 - p^{-s})^{-2} \quad (1.6)$$

Then, almost surely, both functions $\zeta_{\mathbf{X}}^\pm(s)$ extend to meromorphic functions on the region $\{\sigma > \frac{1}{2}\}$, and there are functions $f_{\mathbf{X}}^\pm(s)$ which are analytic on $\{\sigma > \frac{1}{2}\}$ and are such that

$$\zeta_{\mathbf{X}}^\pm(s) = \zeta(s) \exp(f_{\mathbf{X}}^\pm(s)) \quad (\sigma > \frac{1}{2}). \quad (1.7)$$

The Riemann hypothesis is equivalent to the assertion that, almost surely, $\zeta_{\mathbf{X}}^\pm(s) \neq 0$ in $\{\sigma > \frac{1}{2}\}$ for either choice of the sign \pm .

In the region $\{\sigma > 1\}$, let us now define

$$L(s, \mathbf{X}) := \prod_{p \in \mathbb{P}} (1 - \mathbf{X}_p p^{-s})^{-1}. \quad (1.8)$$

The next corollary reproduces a result that was first proved by Wintner [25] and laid the foundation for random multiplicative function theory; it asserts that the GRH almost surely holds for the “ L -function” $L(s, \mathbf{X})$ (for more modern work in this direction, see [3, 4, 7, 8, 13]).

COROLLARY 1.7. *The function $L(s, \mathbf{X})$ almost surely extends to an analytic function without zeros in the region $\{\sigma > \frac{1}{2}\}$.*

Indeed, using (1.6) and (1.8) we have

$$\begin{aligned} L(s, \mathbf{X})^2 &= \prod_{p \in \mathbb{P}} (1 - \mathbf{X}_p p^{-s})^{-2} \\ &= \prod_{p \in \mathbb{P}_X^+} (1 + p^{-s})^{-2} \prod_{p \in \mathbb{P}_X^-} (1 - p^{-s})^{-2} \\ &= \prod_{p \in \mathbb{P}_X^+} (1 - p^{-2s})^{-2} \prod_{p \in \mathbb{P}_X^+} (1 - p^{-s})^2 \prod_{p \in \mathbb{P}_X^-} (1 - p^{-s})^{-2} \\ &= \zeta_X^+(2s) \zeta_X^+(s)^{-1} \zeta_X^-(s), \end{aligned}$$

By Corollary 1.6 there are (almost surely) functions $f_X^\pm(s)$ which are analytic on $\{\sigma > \frac{1}{2}\}$ and satisfy (1.7); in particular, the relation

$$L(s, \mathbf{X})^2 = \zeta_X^+(2s) \zeta_X^+(s)^{-1} \zeta_X^-(s) = \zeta(2s) \exp(f_X^+(2s) - f_X^+(s) + f_X^-(s))$$

holds in $\{\sigma > 1\}$, and it provides the required analytic continuation of $L(s, \mathbf{X})$ to the region $\{\sigma > \frac{1}{2}\}$. Moreover, $L(s, \mathbf{X}) \neq 0$ in $\{\sigma > \frac{1}{2}\}$.

1.4. Asymptotic additive bases.

THEOREM 1.8. *Every set $\mathcal{P} \in \mathcal{B}(\delta, \varepsilon)$ containing the prime 2 is an exact asymptotic additive basis for \mathbb{N} . In other words, there is an integer $h = h(\mathcal{P}) > 0$ such that the h -fold sumset*

$$h\mathcal{P} := \underbrace{\mathcal{P} + \cdots + \mathcal{P}}_{h \text{ copies}}$$

contains all but finitely many natural numbers.

This is proved in §4 below. We remark that Sárközy [20] has shown that any set of primes \mathcal{P} is an asymptotic additive basis for \mathbb{N} , and stronger quantitative versions have been obtained; see [14, 15, 18, 21]. To show that every $\mathcal{P} \in \mathcal{B}(\delta, \varepsilon)$ containing 2 is an *exact* asymptotic additive basis, we use a result of Shiu [22] on strings of consecutive primes in an arithmetic progression; in principle, the methods of Green and Tao [5] could be used to prove Theorem 1.8 with $\mathcal{B}(\delta, \varepsilon)$ replaced with a rather more restricted class of prime sets.

1.5. Examples.

As in §1.3, we put

$$\mathbb{P}_{k,b} := \{p \in \mathbb{P} : \pi(p) \equiv b \pmod{k}\}.$$

We have already seen that

$$\pi_{\mathcal{P}}(x) = \frac{1}{k} \pi(x) + O(1)$$

holds with $\mathcal{P} := \mathbb{P}_{k,b}$, and therefore $\mathbb{P}_{k,b} \in \mathcal{B}(\frac{1}{k}, 0)$. Since $2 \in \mathbb{P}_{k,b}$ if and only if $b = 1$, the next corollary follows immediately from Theorem 1.8.

COROLLARY 1.9. *For every $k \in \mathbb{N}$, the set $\mathbb{P}_{k,1}$ is an exact asymptotic additive basis for \mathbb{N} . For all $b, k \in \mathbb{N}$, the set $\mathbb{P}_{k,b} \cup \{2\}$ is an exact asymptotic additive basis for \mathbb{N} .*

For example, an exact asymptotic additive basis for \mathbb{N} is provided by the set

$$\mathbb{P}_{100,1} = \{2, 547, 1229, 1993, 2749, 3581, 4421, 5281 \dots\},$$

which consists of 2 and every hundredth prime thereafter.

More generally, for the set $\mathbb{P}_{\kappa,\lambda}$ defined in §1.3, we have the following result.

COROLLARY 1.10. *For any $\kappa, \lambda \in \mathbb{R}$ with $\kappa \geq 1$, the set $\mathbb{P}_{\kappa,\lambda} \cup \{2\}$ is an exact asymptotic additive basis for \mathbb{N} .*

2. PROOF OF THEOREM 1.1

Suppose first that $s \in \mathbb{C}$ with $\sigma > 1$. From the Euler product representations of $\zeta_{\mathcal{P}}(s)$ and $\zeta(s)$ we see that the function

$$f_{\mathcal{P}}(s) := \log \zeta_{\mathcal{P}}(s) - \log \zeta(s)$$

can be written in the form

$$f_{\mathcal{P}}(s) = \sum_{j \geq 1} j^{-1} f_{\mathcal{P},j}(s)$$

with

$$f_{\mathcal{P},j}(s) := \frac{1}{\delta} \sum_{p \in \mathcal{P}} p^{-js} - \sum_{p \in \mathbb{P}} p^{-js} \quad (j \geq 1). \quad (2.1)$$

To prove the theorem, it is enough to show that $f_{\mathcal{P}}(s)$ extends to an analytic function in $\{\sigma > \sigma_1\}$ for every real number $\sigma_1 > \sigma_0$.

Let σ_1 be given. Noting that $\sigma_1 > 0$, let N be a positive integer such that $\sigma_1 > \frac{1}{N}$. It is easy to verify that

$$\sum_{j > N} j^{-1} f_{\mathcal{P},j}(s)$$

extends to an analytic function in $\{\sigma > \frac{1}{N}\}$, hence also in $\{\sigma > \sigma_1\}$. Therefore, it remains to show that for any fixed $j \in [1, N]$, $f_{\mathcal{P},j}(s)$ extends to an analytic function in $\{\sigma > \sigma_1\}$.

Using (1.1) we have

$$\frac{1}{\delta} \pi_{\mathcal{P}}(u) = \pi(u) + E(u) \quad (u \geq 1),$$

where $E(u) \ll u^{\sigma_0 + \varepsilon(u)}$, and therefore

$$\begin{aligned} \frac{1}{\delta} \sum_{p \in \mathcal{P}} p^{-js} &= \frac{1}{\delta} \int_1^\infty u^{-js} d\pi_{\mathcal{P}}(u) = \frac{js}{\delta} \int_1^\infty u^{-js-1} \pi_{\mathcal{P}}(u) du \\ &= js \int_1^\infty u^{-js-1} \pi(u) du + js \int_1^\infty u^{-js-1} E(u) du \\ &= \sum_{p \in \mathbb{P}} p^{-js} + js \int_1^\infty u^{-js-1} E(u) du; \end{aligned}$$

that is,

$$f_{\mathcal{P},j}(s) = js \int_1^\infty u^{-js-1} E(u) du.$$

Since $E(u) \ll u^{\sigma_0 + \varepsilon(u)}$, the latter integral converges absolutely in $\{\sigma > j^{-1}\sigma_0\}$, hence also in $\{\sigma > \sigma_1\}$, and the integral representation provides the required analytic extension of $f_{\mathcal{P},j}(s)$ when $j \in [1, N]$.

3. PROOF OF THEOREM 1.3

As in §2 we first assume that $s \in \mathbb{C}$ with $\sigma > 1$ and define

$$f_{\mathcal{P}}(s, \chi) := B \log(\zeta(s) L_{\mathcal{P}}(s, \chi)) - A \log(\zeta(s) L(s, \chi)) = \sum_{j \geq 1} j^{-1} f_{\mathcal{P},j}(s, \chi),$$

where

$$f_{\mathcal{P},j}(s, \chi) := \frac{B}{\delta} \sum_{p \in \mathcal{P}} \chi(p)^j p^{-js} - A \sum_{p \in \mathbb{P}} \chi(p)^j p^{-js} + (B - A) \sum_{p \in \mathbb{P}} p^{-js} \quad (j \geq 1).$$

As before, let $\sigma_1 > \sigma_0$ be given, and let N be a fixed positive integer such that $\sigma_1 > \frac{1}{N}$. To prove the theorem, it is enough to show that for any fixed $j \in [1, N]$ the function $f_{\mathcal{P},j}(s, \chi)$ has an analytic extension to the region $\{\sigma > \sigma_1\}$.

Put

$$\begin{aligned} f_1(s) &:= \frac{B}{\delta} \sum_{\substack{p \in \mathcal{P} \\ \chi(p)=1}} p^{-js} - A \sum_{\substack{p \in \mathbb{P} \\ \chi(p)=1}} p^{-js}, \\ f_2(s) &:= \frac{B}{\delta} \sum_{\substack{p \in \mathcal{P} \\ \chi(p)=-1}} p^{-js} - A \sum_{\substack{p \in \mathbb{P} \\ \chi(p)=-1}} p^{-js}, \\ f_3(s) &:= \frac{B}{\delta} \sum_{\substack{p \in \mathcal{P} \\ p|q}} p^{-js} - A \sum_{\substack{p \in \mathbb{P} \\ p|q}} p^{-js}, \\ f_4(s) &:= (B - A) \sum_{p \in \mathbb{P}} p^{-js}, \end{aligned}$$

where q is the modulus of the character χ . We have

$$f_1(s) + f_2(s) + f_3(s) + f_4(s) = \frac{B}{\delta} \sum_{p \in \mathcal{P}} p^{-js} - B \sum_{p \in \mathbb{P}} p^{-js} = B f_{\mathcal{P},j}(s),$$

where $f_{\mathcal{P},j}(s)$ is given by (2.1). Recall that in §2 we have shown that $f_{\mathcal{P},j}(s)$ has an analytic extension to the region $\{\sigma > \sigma_1\}$; the same is also true of $f_3(s)$ (which is clearly entire). Now observe that

$$f_{\mathcal{P},j}(s, \chi) = f_1(s) + (-1)^j f_2(s) + f_4(s),$$

and therefore

$$f_{\mathcal{P},j}(s, \chi) = \begin{cases} -f_3(s) + B f_{\mathcal{P},j}(s) & \text{if } j \text{ is even,} \\ 2f_2(s) - f_3(s) + B f_{\mathcal{P},j}(s) & \text{if } j \text{ is odd.} \end{cases}$$

To conclude the proof it remains to show that $f_2(s)$ extends analytically to the region $\{\sigma > \sigma_1\}$.

Since $\rho/\delta = A/B$ we have

$$f_2(s) = \frac{A}{\rho} \sum_{\substack{p \in \mathcal{P} \\ \chi(p)=-1}} p^{-js} - A \sum_{\substack{p \in \mathbb{P} \\ \chi(p)=-1}} p^{-js}.$$

Using (1.4) we can write

$$\frac{A}{\rho} \pi_{\mathcal{P}}(u, \chi) = A \pi(u, \chi) + E(u),$$

where $E(u) \ll u^{\sigma_0 + \varepsilon(u)}$. Then

$$\begin{aligned} \frac{A}{\rho} \sum_{\substack{p \in \mathcal{P} \\ \chi(p)=-1}} p^{-js} &= \frac{A}{\rho} \int_1^\infty u^{-js} d\pi_{\mathcal{P}}^-(u, \chi) = \frac{jsA}{\rho} \int_1^\infty u^{-js-1} \pi_{\mathcal{P}}^-(u, \chi) du \\ &= jsA \int_1^\infty u^{-js-1} \pi^-(u, \chi) du + js \int_1^\infty u^{-js-1} E(u) du \\ &= A \sum_{\substack{p \in \mathbb{P} \\ \chi(p)=1}} p^{-js} + js \int_1^\infty u^{-js-1} E(u) du; \end{aligned}$$

in other words,

$$f_2(s) = js \int_1^\infty u^{-js-1} E(u) du.$$

Since $E(u) \ll u^{\sigma_0 + \varepsilon(u)}$, the integral representation yields the desired analytic continuation of $f_2(s)$ to $\{\sigma > \sigma_1\}$.

4. PROOF OF THEOREM 1.8

For the proof of Theorem 1.8, we use the following result of Banks, Güloğlu and Vaughan [2, Theorem 1.2] (the proof of which relies a deep theorem of Kneser; see Halberstam and Roth [6, Chapter I, Theorem 18]).

LEMMA 4.1. *Let \mathcal{P} be a set of prime numbers such that*

$$\lim_{x \rightarrow \infty} \frac{\pi_{\mathcal{P}}(x)}{x/\log x} > 0.$$

Suppose that there is a number s_1 such that for all $s \geq s_1$ and $a, b \in \mathbb{N}$, the congruence

$$p_1 + \cdots + p_s \equiv a \pmod{b}$$

has a solution with $p_1, \dots, p_s \in \mathcal{P}$. Then, there is an integer $h = h(\mathcal{P}) > 0$ such that the h -fold sumset $h\mathcal{P}$ contains all but finitely many natural numbers.

We also use the following statement concerning consecutive primes in a given arithmetic progression, which is due to Shiu [22, Theorem 1]; see also Banks *et al* [1, Corollary 4], where a bounded gaps variant is obtained as a consequence of the Maynard-Tao theorem (see [16]).

LEMMA 4.2. *Let p_n denote the n th smallest prime number for each positive integer n . Fix $c, d \in \mathbb{N}$ with $\gcd(c, d) = 1$. Then, there are infinitely many $r \in \mathbb{N}$ such that $p_{r+1} \equiv p_{r+2} \equiv \cdots \equiv p_{r+m(r)} \equiv c \pmod{d}$, where $m(r)$ is an integer-valued function satisfying the lower bound*

$$m(r) \gg \left(\frac{\log \log r \log \log \log \log r}{(\log \log \log r)^2} \right)^{1/\phi(d)}. \quad (4.1)$$

Here, $\phi(\cdot)$ is the Euler function.

We now make an important observation based on Lemma 4.2, which may be of independent interest.

PROPOSITION 4.3. *Fix $\mathcal{P} \in \mathcal{B}(\delta, \varepsilon)$. For all $c, d \in \mathbb{N}$ with $\gcd(c, d) = 1$, the set \mathcal{P} contains infinitely many primes in the arithmetic progression $c \pmod{d}$.*

Proof. According to Lemma 4.2, there is an infinite set $\mathcal{S} \subseteq \mathbb{N}$ with the property that

$$p_{r+1} \equiv p_{r+2} \equiv \cdots \equiv p_{r+m(r)} \equiv c \pmod{d} \quad (r \in \mathcal{S}), \quad (4.2)$$

where $m(r)$ satisfies (4.1). Taking into account (1.2), we derive the following estimate for all $r \in \mathcal{S}$:

$$\begin{aligned} \pi_{\mathcal{P}}(p_{r+m(r)}) - \pi_{\mathcal{P}}(p_r) &= \delta(\pi(p_{r+m(r)}) - \pi(p_r)) + O((\log \log r)^{\varepsilon_r}) \\ &= \delta m(r) + O((\log \log r)^{\varepsilon_r}) \end{aligned}$$

where $\varepsilon_r := \varepsilon(p_{r+m(r)})$ for each r , and the constant implied by the O -symbol depends only on \mathcal{P} . In view of (4.1) and the fact that $\overline{\lim}_{r \rightarrow \infty} \varepsilon_r \leq 0$, we have

$$\pi_{\mathcal{P}}(p_{r+m(r)}) > \pi_{\mathcal{P}}(p_r) \quad (r \in \mathcal{S}, r \geq r_0). \quad (4.3)$$

For every sufficiently large $r \in \mathcal{S}$, by (4.3) it follows that $p_{r+j} \in \mathcal{P}$ for some j in the range $1 \leq j \leq m(r)$, and by (4.2) we have $p_{r+j} \equiv c \pmod{d}$. Since \mathcal{S} is infinite, the lemma follows. \square

Using the Hardy-Littlewood circle method, Vinogradov [24] established his famous theorem that every sufficiently large odd integer is the sum of three prime numbers. Effective versions of Vinogradov's theorem have been given by several authors (see [10, 17, 23] and references therein), but for the purposes of the present paper we require only the following extension of Vinogradov's theorem, which is due to Haselgrove [9, Theorem A].

LEMMA 4.4. *For any fixed $\theta \in (\frac{63}{64}, 1)$ there is a positive number $n_0(\theta)$ such that every odd integer $n \geq n_0(\theta)$ can be expressed as the sum of three primes*

$$n = p_1 + p_2 + p_3$$

with $|p_j - \frac{1}{3}n| < n^\theta$ for each $j = 1, 2, 3$.

The following statement is a simple consequence of Haselgrove's result.

LEMMA 4.5. *For every integer $s \geq 6$, there is an integer $N_0(s)$ with the property that every integer $N \geq N_0(s)$ can be expressed as a sum of primes*

$$N = \tilde{p}_1 + \cdots + \tilde{p}_s$$

with $\tilde{p}_j = 2$ or $\tilde{p}_j \geq \frac{1}{12}N$ for $j = 1, \dots, s$.

Proof. Set $\theta := \frac{99}{100}$. Since $\theta \in (\frac{63}{64}, 1)$, Lemma 4.4 shows that there is a positive number $n_0 = n_0(\theta)$ such that every odd integer $n \geq n_0$ can be expressed as the sum of three primes, $n = p_1 + p_2 + p_3$, with $p_j \geq \frac{1}{4}n$ for each $j = 1, 2, 3$.

Put $N_1(s) := n_0 + 2s - 6$, and let N be an odd integer exceeding $N_1(s)$. Since $n := N - 2s + 6$ is an odd integer exceeding n_0 , we can write $n = p_1 + p_2 + p_3$ as above. Consequently,

$$N = p_1 + p_2 + p_3 + \underbrace{2 + \cdots + 2}_{s-3 \text{ copies}}$$

where

$$p_j \geq \frac{1}{4}n = \frac{1}{4}(N - 2s + 6) \quad (j = 1, 2, 3). \quad (4.4)$$

Replacing $N_1(s)$ by a larger number, if necessary, the bound $N > N_1(s)$ and (4.4) together imply that $p_j \geq \frac{1}{12}N$ for $j = 1, 2, 3$.

Next, put $N_2(s) := 3n_0 + 6s - 36$, and let N be an even integer exceeding $N_2(s)$. If n_0 is sufficiently large (which we can assume) then $N - 6s + 36 = n + n'$ for some odd integers n and n' that are both larger than $\max\{n_0, \frac{1}{3}N\}$. Therefore, writing $n = p_1 + p_2 + p_3$ and $n' = p'_1 + p'_2 + p'_3$ as above, we have

$$N = p_1 + p_2 + p_3 + p'_1 + p'_2 + p'_3 + \underbrace{2 + \cdots + 2}_{s-6 \text{ copies}}$$

where

$$p_j \geq \frac{1}{4}n \geq \frac{1}{12}N \quad \text{and} \quad p'_j \geq \frac{1}{4}n' \geq \frac{1}{12}N \quad (j = 1, 2, 3).$$

Taking $N_0(s) := \max\{N_1(s), N_2(s)\}$ we finish the proof. \square

Proof of Theorem 1.8. Fix a set $\mathcal{P} \in \mathcal{B}(\delta, \varepsilon)$ with $2 \in \mathcal{P}$. Since $\pi_{\mathcal{P}}(x)$ satisfies (1.2) the first condition of Lemma 4.1 is met, and it remains only to verify the second condition of Lemma 4.1.

Fix an arbitrary integer $s \geq 6$, and let $a, b \in \mathbb{N}$ be given. Replacing a with a sufficiently large number in the progression $a \bmod b$, we can assume that $a \geq 24b$. We can further assume that a exceeds the number $N_0(s)$ described in the statement of Lemma 4.5. Therefore, a can be expressed as a sum of primes $a = \tilde{p}_1 + \cdots + \tilde{p}_s$, where for every $j = 1, \dots, s$ we have either $\tilde{p}_j = 2$ or else

$$\tilde{p}_j \geq \frac{1}{12}a \geq 2b > b.$$

In the latter case, it is clear that $\gcd(\tilde{p}_j, b) = 1$, hence by Proposition 4.3 there is a prime $p_j \in \mathcal{P}$ such that

$$p_j \equiv \tilde{p}_j \pmod{b}. \quad (4.5)$$

Since $2 \in \mathcal{P}$, we can put $p_j := 2$ whenever $\tilde{p}_j = 2$, obtaining (4.5) in this case as well. Summing the congruences (4.5) over $j = 1, \dots, s$ gives

$$a = \tilde{p}_1 + \cdots + \tilde{p}_s \equiv p_1 + \cdots + p_s \pmod{b}.$$

This shows that the second condition of Lemma 4.1 is met, and the proof of Theorem 1.8 is complete. \square

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